

Informatique et preuve

Une brève histoire du raisonnement automatisé

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Université catholique de Louvain

Séminaire *fondements et notions fondamentales* – 12 mars 2012

Replacing Scholars by Programs?

From



Paul Erdős

to



HAL 9000

?

Computer Proofs?

- Can “creativity” be “automated”?

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- Intuition: **NO**, reasoning is genuinely human
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 - A well-established field of **Artificial Intelligence** (50+ years)
 - Rich gamut of approaches, books, tools, applications, results
- ... Reasoning **can** be reduced to computation (to some extent)

Why Do I Care?

- **Who I am**
 - Professor at UCL / SST / EPL (engineering school)
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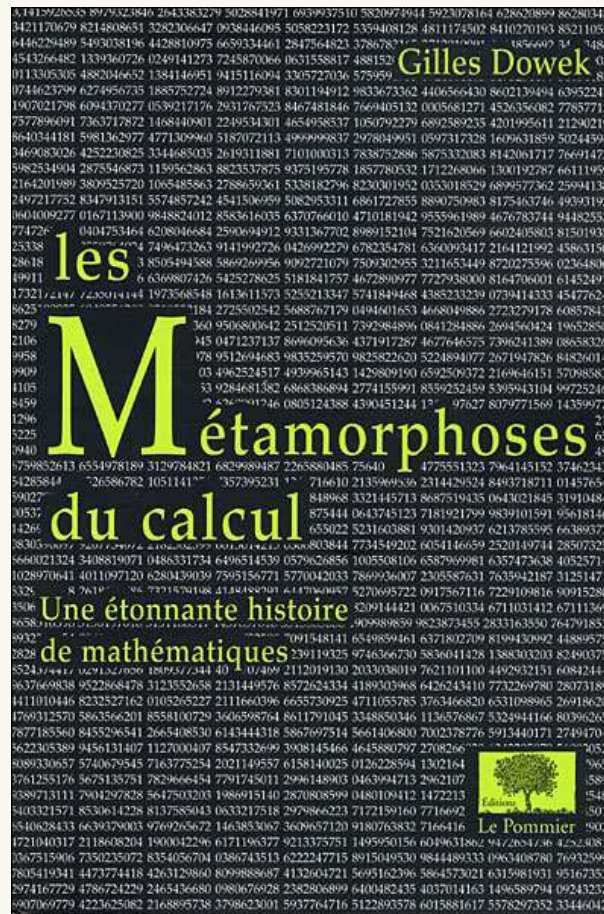
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- **What I teach**

- Beginner programming (Java), system modelling and analysis,
- **(automated) program proofs, automated reasoning**



Gilles Dowek
Les métamorphoses du calcul
Une étonnante histoire de mathématiques
Le Pommier, 2007

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AR Examples

The Four Colour Theorem



- *The vertices of every planar graph can be colored with at most four colors so that no two adjacent vertices receive the same color*
- *Or equivalently, any map may be colored using no more than four colors in such a way that no two adjacent regions receive the same color*

The Four Colour Theorem: Proof

Wikipedia: Four color theorem

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- Proof in **Coq** in 2004 (*Werner, Gonthier*)
 - General-purpose theorem prover
 - Still need to **trust Coq...**

Robbins Algebra are Boolean

- **Robbins algebra:** (A, \vee, \neg) satisfying

$$a \vee (b \vee c) = (a \vee b) \vee c$$

$$a \vee b = b \vee a$$

$$\neg(\neg(a \vee b) \vee \neg(a \vee \neg b)) = a$$

(associativity)
(commutativity)
(Robbins's axiom)

- **Boolean algebra:** $(A, \vee, \wedge, \neg, 0, 1)$ satisfying

$$a \vee (b \vee c) = (a \vee b) \vee c$$

$$a \vee b = b \vee a$$

$$a \vee (a \wedge b) = a$$

$$a \vee (b \wedge c) = (a \vee b) \wedge (a \vee c)$$

$$a \vee \neg a = 1$$

... and their duals wrt. $\wedge/\vee, 0/1$

(associativity)
(commutativity)
(absorption)
(distributivity)
(complements)

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 - $a \vee (a \wedge b) = a$ (absorption)
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 - ... and their duals wrt. $\wedge/\vee, 0/1$
- **Conjecture:** all Robbins algebra are Boolean

Robbins Algebras are Boolean: Proof

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 - proved useful lemmas (by hand), still not solved

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 - using the Argonne Theorem Prover (\rightarrow Otter \rightarrow Prover9)
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- **Solution** using automated reasoning in 1997 (*McCune*)
 - using EQP = automated prover for equational logic
 - found proof of the missing lemma
 - after 14 attempts totaling five weeks of CPU time

Paris Métro Ligne 14



- Platform screen doors control software
 - Starting/stopping trains, opening/closing train and platform doors
 - Parts on-board, on wayside, at control center

Paris Métro Ligne 14: Proof

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- Safety-critical code written in B
 - Includes **formal safety properties**
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- Seems to work!
 - **No bug found** after 9 years of operation

Before AR

The Early Days

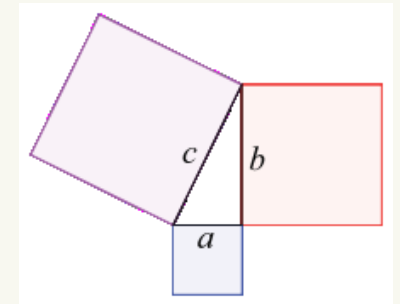
- Mesopotamia, since 2500 BC
 - Add, multiply, divide, area of rectangles, triangles, disks, . . .
 - With **given** numbers: **computing**



The Early Days



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 - Add, multiply, divide, area of rectangles, triangles, disks, ...
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- Pythagoras, 500 BC:
 - **For all** rectangle triangles (a, b, c) : $a^2 + b^2 = c^2$
 - **Infinitely many** (a, b, c) : **reasoning**

And Then Logics

- Aristote, 350 BC:

*All men are mortal.
Socrates is a man.
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- **Modus ponens**: roots of **propositional logic**
- Seen as **philosophy**, not mathematics!
 - Euclid's Elements did not (explicitly) use them!
 - Too crude: needs functions, predicates

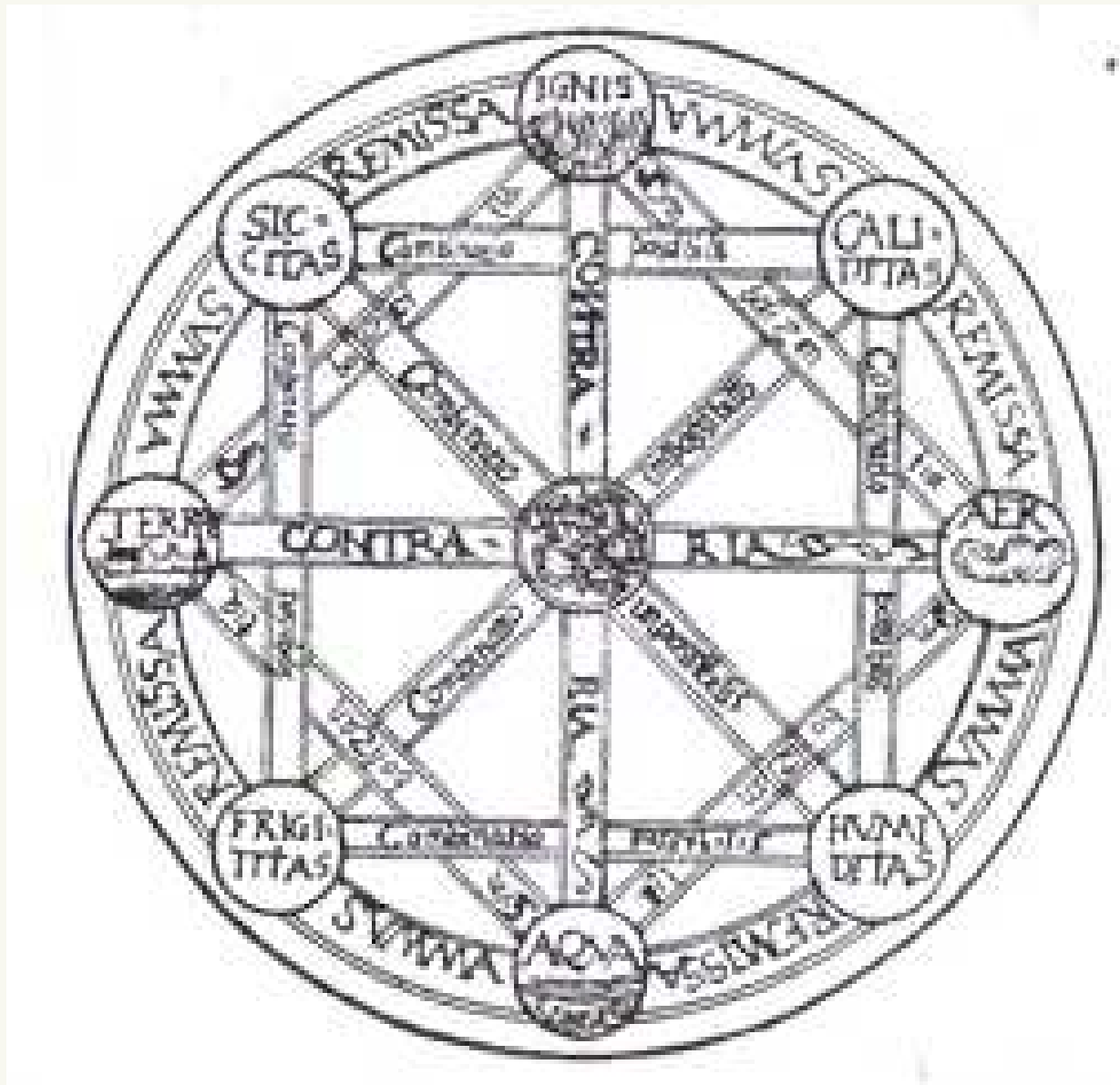
Reasoning as Computing?

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(T. Hobbes, 1651)
- *Characteristica Universalis* (Leibniz, 1646–1716)
 - An (unrealized) universal **language** to express mathematical, scientific, and philosophic concepts
 - *Calculus ratiocinator* (calculus of reasoning): an (unrealized) universal logical **calculation**

Characteristica Universalis



(image from Wikipedia)

Formalizing Logics

- *Calculus of logic* (Boole, 1815–1864)
 - **Propositional** (Boolean!) logic, **set-theoretic** reasoning
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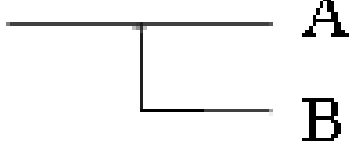


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- *Begriffsschrift* (Frege, 1879)
 - “A formula language, modelled on that of arithmetic, of pure thought”
 - First-order logic, **Quantifiers**, sets
 - Russell’s paradox ($\{x \mid x \notin x\}$)

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 - Russell’s paradox ($\{x \mid x \notin x\}$)
- *Principia Mathematica* (Whitehead and Russell, 1910)
 - **Type** theory
 - Formal foundations of **mathematics**

Frege's Begriffsschrift

| Basic concept | Frege's notation | Modern notations |
|-------------------------------|---|------------------------------------|
| Judging | $\vdash A, \Vdash A$ | $p(A) = 1$ $p(A) = i$ |
| Negation | $\neg A$ | $\neg A; \sim A$ |
| Conditional (implication) |  | $B \rightarrow A$ $B \supset A$ |
| Universal quantification |  | $\forall y: \Phi(y)$ |
| Existential quantification |  | $\exists y: \Phi(y)$ |
| Content identity (equal sign) | $A \equiv B$ | $A = B$ |

(image from Wikipedia)

Reasoning as Computing... or Not?

- Hilbert's program (Hilbert, 1922)
 - (Science program, not computer!)
 - Goal: **formalize** all of mathematics
 - Goal: **prove** completeness, consistency, ...
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- The incompleteness theorems (Gödel, 1931)
 - Any "rich enough" formal system is **incomplete**
 - i.e. some valid statements cannot be proven
 - Essential **limit** to Hilbert's goal

Deciding is Computing

- Formalization of computation = **decidability**
 - ... before creation of computers!
 - **Turing machines** (Turing, 1936)
 - **λ -calculus** (Church, 1936)
 - **Halting problem** is not decidable
 - **First-order logic** is not decidable

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- Then came the **computers** (1940's, WWII)
 - ... and the first attempts to **compute proofs**
 - **Artificial intelligence** (McCarthy, 1956)
 - Lisp (1956), Prolog (1972)

The AR Problem

What's **logic**?

- **Facts:** logic formulae ϕ (syntax)

$$\forall a, b, c, n \in \mathbb{N} : n \geq 3 \Rightarrow a^n + b^n \neq c^n$$

- **Reasoning:** logic **proofs** $\phi_1, \dots, \phi_n \vdash \phi$
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 - Using rules, tableaux, truth tables, ...
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- **Proof = Rules + Strategy = Computing + Reasoning**

What's a **useful** logic?

- **Means** something: **interpretations** M (aka models)
 - Propositions, predicates, functions, sets, numbers, programs, ...
 - **Semantics:** $M \models \phi$ if ϕ is true in/about/for M
 - **Consequence:** $\phi_1, \dots, \phi_n \models \phi$
 - **Validity:** $Ax \models \phi$
 - **Satisfiability:** $Ax \not\models \neg\phi$
- Reasons **properly**
 - **Soundness:** all **proofs** are **valid**
$$Ax \vdash \phi \quad \Rightarrow \quad Ax \models \phi$$
 - **Completeness:** all **valid facts** can be **proven**
$$Ax \models \phi \quad \Rightarrow \quad Ax \vdash \phi$$

What's **computing**?

- An **effective** way to produce **outputs** from **inputs**
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What's **deciding** a problem?

- **Computing** a **yes-or-no** answer to (any instance of) the problem
- Some things are **undecidable**
 - Does a program terminate?
 - Is a (context-free) grammar unambiguous?
 - Does a Diophantine equation have solutions?
 - **Is a logic formula valid?** (Entscheidungsproblem)

Computing Proofs

- Proofs systems can be used to **enumerate** proofs
 - E.g.: all proofs of length 0 (axioms), then length 1, etc.
 - Fair: will find a proof if there is one...
 - ...but will go forever if there isn't
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- Common approaches
 - Reduce formulae to **normal forms** (easier for computing)
 - Part of the theory “built-in” the method (e.g. equality), the rest provided as ordinary formulae Ax
 - Proof by **refutation**: (un)satisfiability of $Ax \wedge \neg\phi$

Some Decidability Results

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- Many **quantifier-free fragments** are **decidable**
 - Enough for many applications

Decidability and Complexity of Some Theories

| Theory | full | CQFF |
|---------------------------------------|-----------------|-----------------|
| propositional | NP-comp. | $\Theta(n)$ |
| first-order | no | $\Theta(n)$ |
| equality (uninterpreted fct.) | no | $O(n \log n)$ |
| $\mathbb{N}, +, \times$ (Peano) | no | no |
| $\mathbb{N}, +$ (Pressburger) | $O(2^{2^{kn}})$ | NP-comp. |
| $\mathbb{R}, +, \times$ | $O(2^{2^{kn}})$ | $O(2^{2^{kn}})$ |
| $\mathbb{R}, +$ (or $\mathbb{Q}, +$) | $O(2^{2^{kn}})$ | PTIME |
| recursive data structures | no | $O(n \log n)$ |
| acyclic recursive data struct. | not elementary | $\Theta(n)$ |
| arrays | no | NP-comp. |

(CQFF = **conjunctive** quantifier-free formulae)

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- **Synthesizing** artifacts
 - Constructive proof of $\exists x.\phi(x)$

AR Milestones

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- Deciding linear arithmetics (Presburger 1929)
 - Decision algorithm for first-order formulae over $(\mathbb{N}, +)$
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- Along the same lines:
 - Decision algorithm for (\mathbb{N}, \times) (Skolem 1930)
 - Decision algorithm for $(\mathbb{R}, +, \times)$ (Tarski 1931)
 - NB: Euclidean geometry reducible to $(\mathbb{R}, +, \times)$
 - NB: $(\mathbb{N}, +, \times)$ (Peano) is not decidable (Gödel 1931)

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 - Proofs for elementary geometry
 - Similar approach
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- **Human-like** proofs!

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 - The heart of proof search
- **Davis-Putnam-Logemann-Loveland (DPLL)** algorithm (1962)
- Basic principle:
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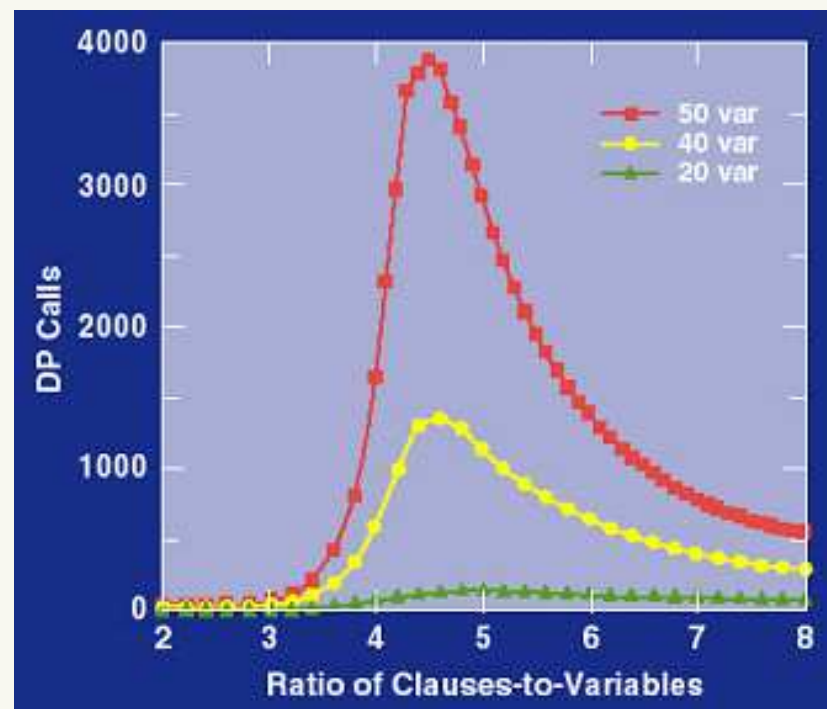
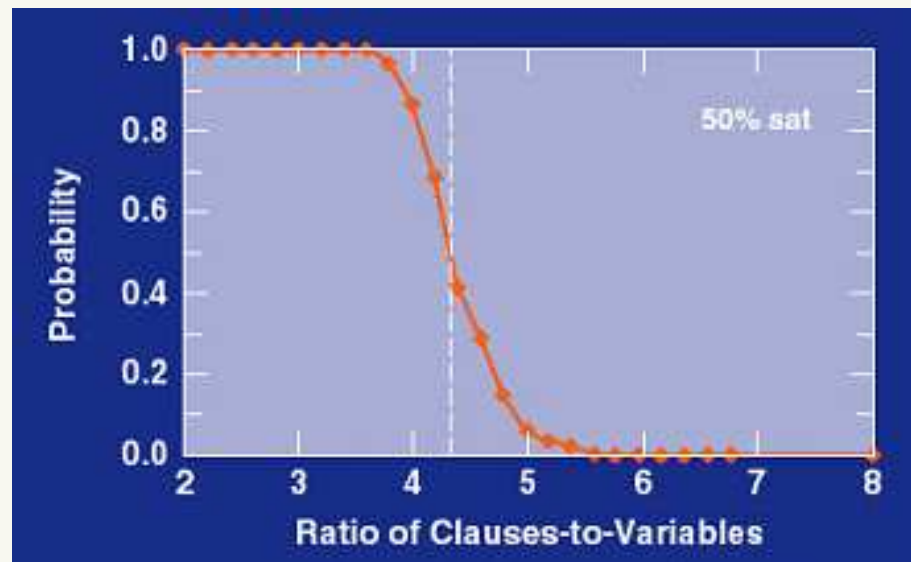
$$\frac{\boxed{l} \quad \boxed{\neg l} \vee l_1 \vee \dots \vee l_n}{l_1 \vee \dots \vee l_n}$$

- Otherwise, choose a literal l and try l then $\neg l$ (**case-split**)

- Solving **propositional logic** satisfiability (SAT)
 - Computationally hard (NP-complete)
 - The heart of proof search
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 - Otherwise, choose a literal l and try l then $\neg l$ (**case-split**)
- **Computer-like** proofs, not intuitive but efficient!

SAT Solvers Today

- DPLL-based SAT solvers **widely used** today
 - Lots of improvements, very efficient implementations
 - Berkmin, Chaff, zChaff, Minisat, . . .
 - Inside many applications
 - Often good performance in practice



The Resolution Method

The **Resolution method** (Robinson 1965)

- Key idea: **unification**

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- This single rule (+ factoring) provides a **complete** proof method for **first-order logic!**
- Limitations of Resolution
 - Clauses, generic rule \Rightarrow inefficient, lacks guidance
 - Need more: equality, numbers, sets, induction, ...

Paramodulation (Robinson, Wos, 1969)

another Robinson!

- For proofs with **equational theories**

e.g. $0 + x = x$

$$(x + y) + z = x + (y + z)$$

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$$\frac{l_1 \vee \dots \vee l_n \vee \boxed{s = t} \quad \boxed{l'[u]} \vee l'_1 \vee \dots \vee l'_m}{l_1\sigma \vee \dots \vee l_n\sigma \vee \boxed{l'\sigma[t\sigma]} \vee l'_1\sigma \vee \dots \vee l'_m\sigma} \quad \boxed{\sigma = mgu(s, u)}$$

Equational Reasoning

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- Used for proof of Robbins conjecture

Rewrite Systems

- **Term Rewriting**

- Rules $s \rightarrow t$ used to reduce (= rewrite) s into t
- Repeat until irreducible **normal form** $s \downarrow$

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$\Rightarrow (a + 0) + b$ becomes $a + (0 + b)$ becomes $a + b$

- Used for reasoning in **equational theories**

- Turn equations into rewrite rules
- If the rules are **convergent**,
then $s = t$ iff $s \downarrow$ and $t \downarrow$ are identical
- **Knuth-Bendix** procedure (1970) for checking convergence

- Also at the core of **functional programming**

Prolog (Colmerauer 1972)

```
ancestor(X,X).
ancestor(X,Z) :- parent(X,Y), ancestor(Y,Z).
parent(albertII,philippe).
parent(philippe,elisabeth).

?- ancestor(albertII,X), ancestor(X,elisabeth).
X = albertII
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- **Logic clauses as program statements, logic reasoning as program execution!**
- Based on **SLD-resolution** (Kowalski 1973)
 - Resolution specialized on definite clauses
- Prolog adds many programming language features!

Richer Logics

- Higher-Order Logics
 - Functions, sets, relations
- Type systems
 - Numbers, lists, trees, ...
 - and functions/sets/relations thereof
- Inductive reasoning
- Forces **interactive** approaches = **proof assistants**
 - Most problems are undecidable, huge search spaces
 - Proof tactics and tacticals, proof planning
 - Proof editors and browsers

Some Proof Assistants

- **LCF** (Milner, 1972)
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- **PVS** (Owre, Rushby, Shankar, 1992)
 - Based on sequent calculus

Example: PVS Proof

```
sum_plus :  
  
  |-----  
{1}   (FORALL (f: [nat -> nat], g: [nat -> nat], n: nat):  
       sum((LAMBDA (n: nat): f(n) + g(n)), n) = sum(f, n) + sum(g, n))  
  
Rule? (skolem!)  
Skolemizing,  
this simplifies to:  
sum_plus :  
  
  |-----  
{1}   sum((LAMBDA (n: nat): f!1(n) + g!1(n)), n!1)  
       = sum(f!1, n!1) + sum(g!1, n!1)  
  
Rule? (lemma "nat_induction")  
Applying nat_induction where  
this simplifies to:  
sum_plus :  
  
{-1}  (FORALL (p: pred[nat]):  
       (p(0) AND (FORALL (j: nat): p(j) IMPLIES p(j + 1)))  
       IMPLIES (FORALL (i: nat): p(i)))  
  
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Decision Procedures

- **Automated** decision procedures (DPs) for specific theories
 - **Quantifier-free** fragments
 - (QF) Linear integers/reals \Rightarrow **simplex algorithm**
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- Inside many tools: **embedded** automated reasoning

Proving Programs

- Principle: reduce **programs** to **logic**
 - Base case: $\{x \times x > 0\} y := x \times x \{y > 0\}$
 - Program properties reduce to (first-order) **verification conditions**
 - Prove with standard proof tools (solvers)
 - Needs guidance: loop invariants, pre/post conditions, ...

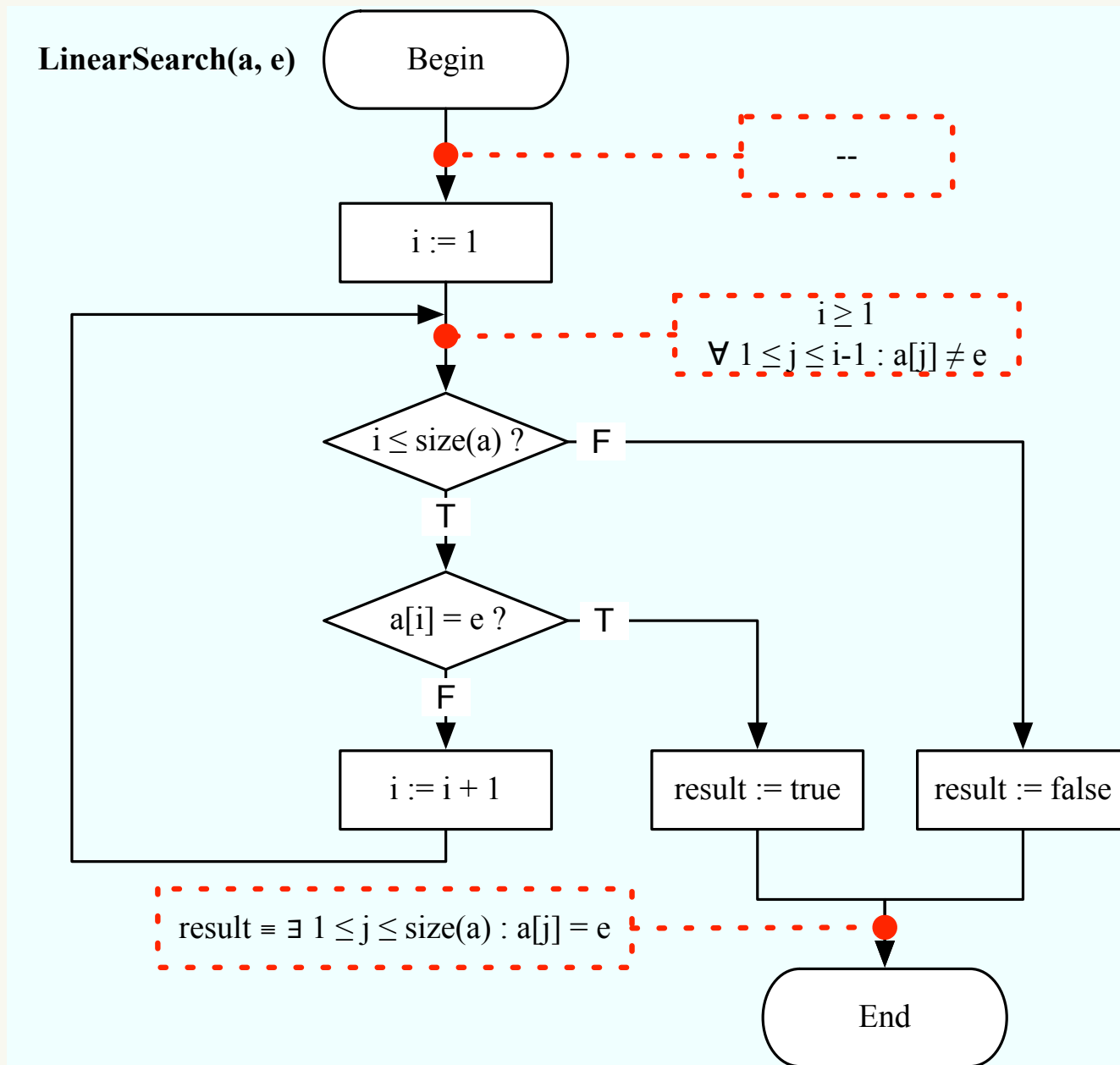
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- Hard problem: loops, recursion, pointers, objects, concurrency, ...
- Lots of conditions to check (thousands) but “easy” proofs
- Example: B method applied to Paris metro line

Example: Inductive Assertions



Model-Checking

- **Model-Checking:** check $M \models \phi$ for a **given** model M
 - Rather than **validity**: $M \models \phi$ for all M
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 - By exhaustive exploration of M : **semantic** approach
 - Fully automatic! (though computation-intensive)
- Concretely, $M =$ (the state space of) a computer program/system
 - Very large (millions of states), state space explosion
 - Even infinite, with symbolic approaches (\Rightarrow solvers!)
 - Explore all possible **executions**
 - For all parameters, inputs, scheduling, timing
- $\phi =$ temporal logic
 - e.g. $\Box \neg (busy_a \wedge busy_b)$
 - $\Box (send \Rightarrow \Diamond receive)$

AR Perspectives

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- Algorithmic improvements
 - CASC competition (8 divisions, 20+ categories in 2012)

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 - The AI Effect: *As soon as AI works, it is no longer called AI*
- Will computer provers someday equal, then surpass humans?
That is the (weak) AI question!

Bibliography

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