

# Reasoning about Strategies under Partial Observability and Fairness Constraints

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# Running Example: A simple card game [1]

Three cards: A, K, Q  
(A wins over K, K over Q, Q over A);

A player, a dealer.



[1] W. Jamroga, W. van der Hoek. *Agents that Know How to Play*. (2004)

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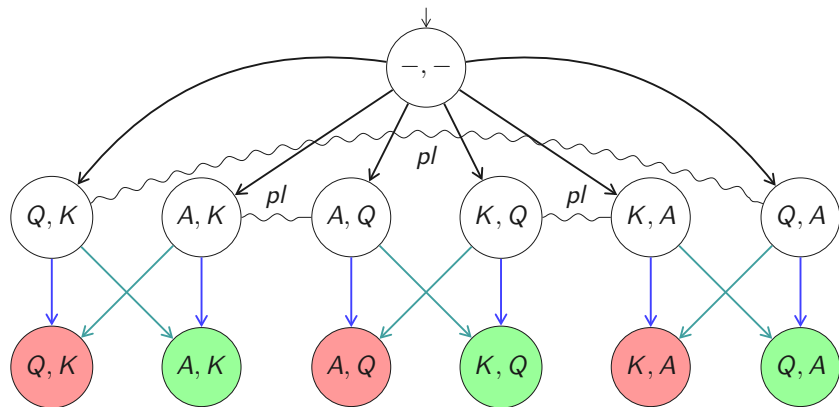
The dealer gives a card and keeps one;

the player can change his card  
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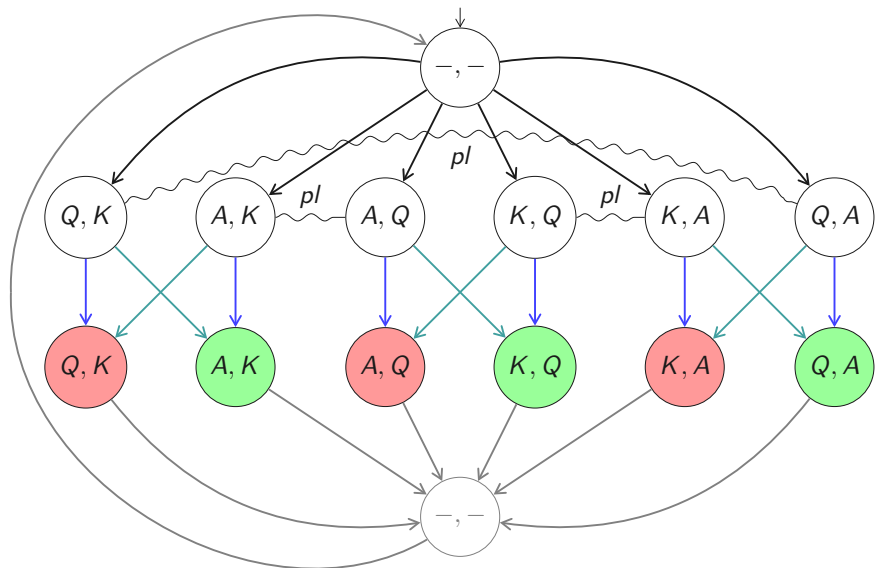
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**Variant: the player can play infinitely.**

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# Reasoning about strategies

Model checking problem:

**does the player have a strategy to win?**

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⇒ it depends on the semantics!



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Model checking problem:

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Under *ATL*, we consider all strategies.

The player has a strategy to win,

even if he cannot play it:

e.g., in  $\langle A, K \rangle$ , keep the card; in  $\langle A, Q \rangle$ , exchange it.

# Reasoning about strategies

Model checking problem:

**does the player have a strategy to win?**

*ATL*: yes.

Under  $ATL_{ir}$ , we consider only **memoryless uniform** strategies.

There is no uniform strategy to win,

because the player cannot distinguish, e.g.,  $\langle A, K \rangle$  and  $\langle A, Q \rangle$ .

# Reasoning about strategies

Model checking problem:

**does the player have a strategy to win?**

*ATL*: yes.

*ATL<sub>ir</sub>*: no.

If we consider *ATL<sub>ir</sub>* with a **fair dealer** and an **infinite play**,  
the player can eventually win:  
just use one uniform strategy, the right pair will finally come.

# Reasoning about strategies

Model checking problem:

**does the player have a strategy to win?**

$ATL$ : yes.

$ATL_{ir}$ : no.

$ATL_{ir}$  + fair dealer and infinite play: yes.

$\Rightarrow ATLK_{irF}$ : branching time, knowledge, **memoryless uniform** strategies and unconditional **fairness constraints**.

# Outline

Strategies, Temporal Logics and Fairness

Strategies under Partial Observability and Fairness Constraints

Discussions

Implementation

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ATL, reasoning about **strategies** of the agents. [2]

**Syntax:** Strategic modalities:  $\langle \Gamma \rangle \mathbf{X} \phi$ ,  $[\Gamma] \mathbf{G} \phi$ ,  $\langle \Gamma \rangle [\phi_1 \mathbf{U} \phi_2]$ , etc.

**Semantics:** A state  $s$  satisfies  $\langle \Gamma \rangle \pi$  iff there exists a set of **strategies** for agents in  $\Gamma$  such that **all enforced paths satisfy**  $\pi$ .

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**Model checking:**

$$eval_{ATL}([\Gamma] \mathbf{G} \phi) = \nu Z. eval_{ATL}(\phi) \cap Pre_{[\Gamma]}(Z)$$

where  $Pre_{[\Gamma]}(Z)$  is the set of states from which  $\Gamma$  cannot avoid to reach  $Z$  in one step.

[2] Alur et al. *Alternating-time temporal logic*. (2002)

## $ATL_{ir}$ , memoryless uniform strategies [3]

Only **memoryless uniform** strategies:

$$f_a : S \rightarrow Act_a \text{ such that } s \sim_a s' \implies f_a(s) = f_a(s')$$

**Semantics:** A state  $s$  satisfies  $\langle \Gamma \rangle \pi$  iff there exists a set of **memoryless uniform** strategies for agents in  $\Gamma$  such that all paths enforced **from all**  $s' \sim_\Gamma s$  satisfy  $\pi$ .

[3] Schobbens. *Alternating-time logic with imperfect recall*. (2004).



## FairCTL: time and fairness constraints [4]

Add a set of **fairness constraints**  $FC \subseteq 2^S$  to the model;  
 $\Rightarrow$  unconditional state-based fairness.

Only **fair paths** are considered:

$s \models \mathbf{E} \pi$  iff there exists a **fair** path from  $s$  satisfying  $\pi$ ;

$s \models \mathbf{A} \pi$  iff all **fair** paths from  $s$  satisfy  $\pi$ .

[4] Clarke, Grumberg, Peled. *Model checking*. (2000).

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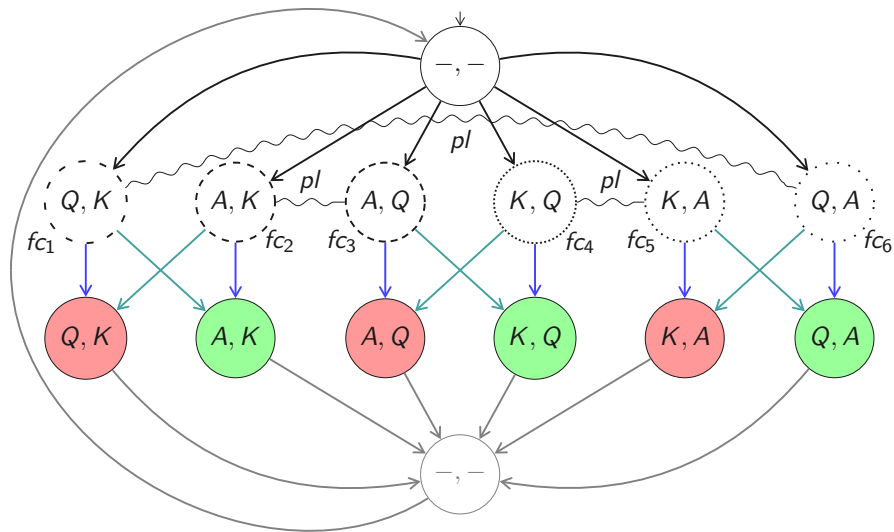
### Model checking:

$$eval_{FCTL}(\mathbf{EG} \phi) = \nu Z. \Phi \cap \bigcap_{fc \in FC} Pre(\mu Y. (Z \cap fc) \cup (\Phi \cap Pre(Y)))$$

where  $Pre(Z)$  is the set of states having a successor in  $Z$   
and  $\Phi = eval_{FCTL}(\phi)$ .

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# Adding fairness constraints to the card game



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$ATLK_{irF} = \text{FairCTL}$ , knowledge and  $ATL_{ir}$  with fairness

**Syntax:** CTL (**EX**, **AG**, etc.), knowledge ( $\mathbf{K}_{ag}$ ,  $\mathbf{C}_g$ , etc.) and strategies ( $\langle \Gamma \rangle \mathbf{F}$ ,  $[\Gamma] \mathbf{U}$ , etc.)

**Semantics:** A state  $s$  satisfies  $\langle \Gamma \rangle \pi$  iff there exists a **memoryless uniform** strategy for  $\Gamma$  such that all **fair paths** enforced **from all**  $s' \sim_{\Gamma} s$  satisfy  $\pi$ .

To model check  $ATLK_{irF}$ ,  
we defined  $ATLK_{irF}$  and its model checking

$ATLK_{irF} = \text{FairCTL} + \text{knowledge} + \text{ATL}$  with fairness

$ATLK_{irF}$  **semantics:** A state  $s$  satisfies  $\langle \Gamma \rangle \pi$  iff there exists a memoryless strategy (**not necessarily uniform**) for  $\Gamma$  such that all **fair paths** enforced (**from  $s$  only**) satisfy  $\pi$ .

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**$ATLK_{irF}$  semantics:** A state  $s$  satisfies  $\langle \Gamma \rangle \pi$  iff there exists a memoryless strategy (not necessarily uniform) for  $\Gamma$  such that all **fair paths** enforced (from  $s$  only) satisfy  $\pi$ .

**$ATLK_{irF}$  model checking:**

$$\text{eval}_{irF}([\Gamma] \mathbf{G} \phi) = \nu Z. \Phi \cap \bigcap_{fc \in FC} \text{Pre}_{[\Gamma]}(\mu Y. (Z \cap fc) \cup (\Phi \cap \text{Pre}_{[\Gamma]}(Y)))$$

where  $\Phi = \text{eval}_{irF}(\phi)$ .

## $ATLK_{irF}$ model checking

A state  $s$  satisfies  $\langle \Gamma \rangle \pi$  iff there exists a **memoryless uniform strategy** for  $\Gamma$  which allows  $\Gamma$  to enforce  $\pi$  in all **states indistinguishable from**  $s$ , considering only **fair paths**.



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To get all the states satisfying  $\langle \Gamma \rangle \pi$ :

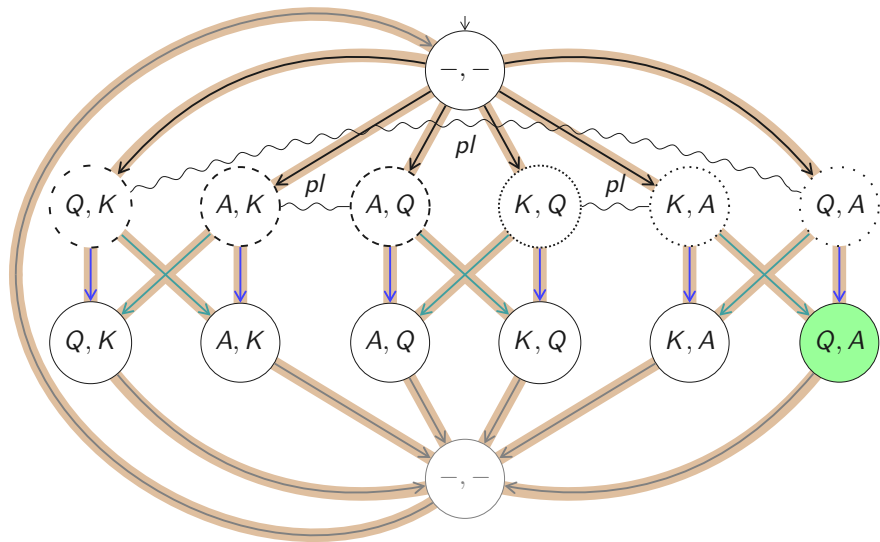
1. List all the memoryless uniform strategies;
2. Use  $ATLK_{irF}$  model checking to get states satisfying the property **in this strategy**;
3. Then restrict to set of undistinguishable states.

## $ATLK_{irF}$ model checking: *Split* algorithm

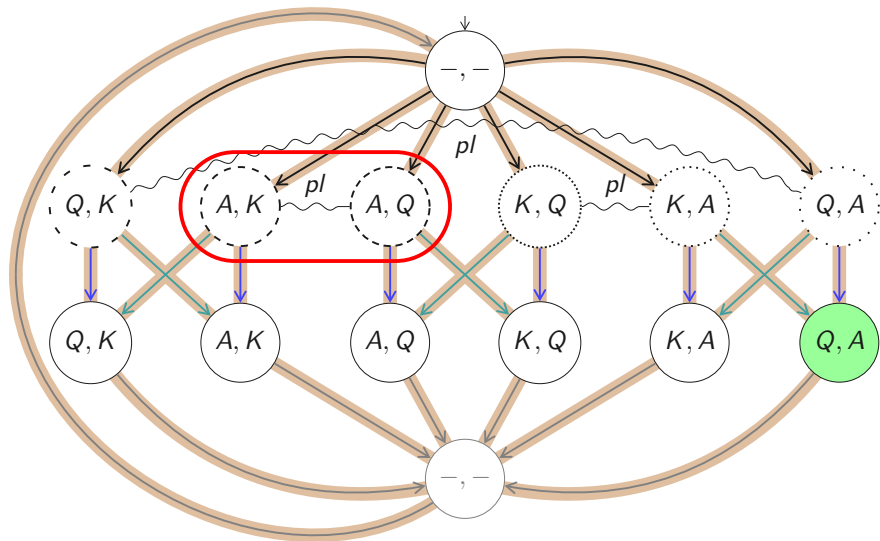
Split the state/action pairs into memoryless uniform strategies.

1. Get all conflicting equivalence classes;
2. If there are none, the set is itself a memoryless uniform strategy.
3. Otherwise, choose a conflicting equivalence class;
4. Split it;
5. and recursively call *Split* on the rest.

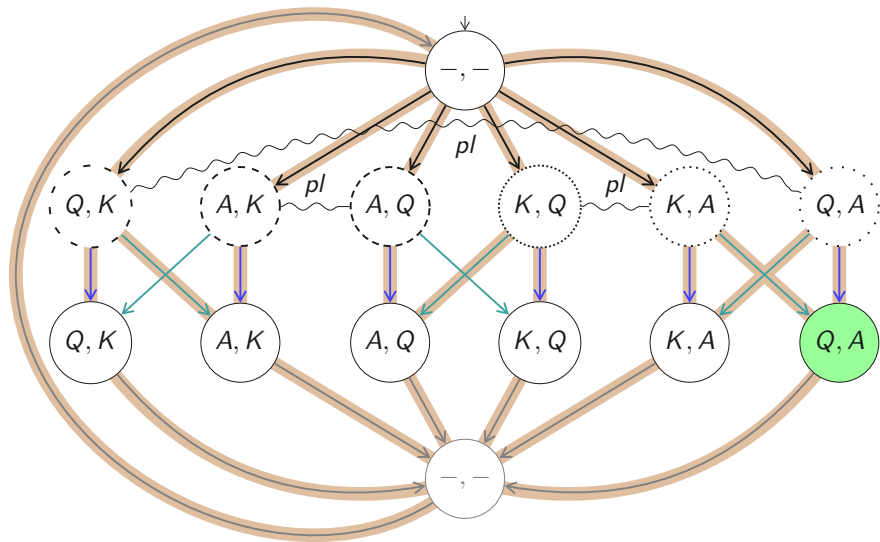
ATLK<sub>irF</sub> model checking example:  $\langle player \rangle F \text{ win} \wedge \langle Q, * \rangle$



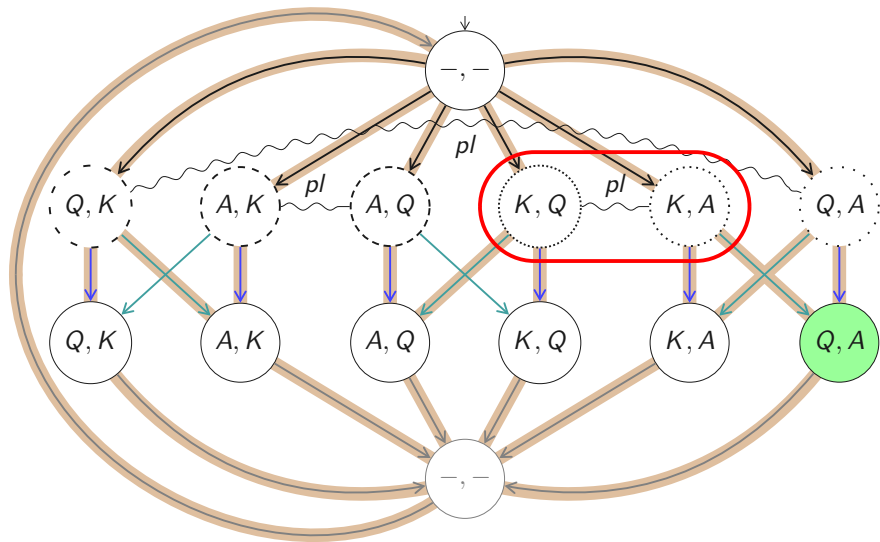
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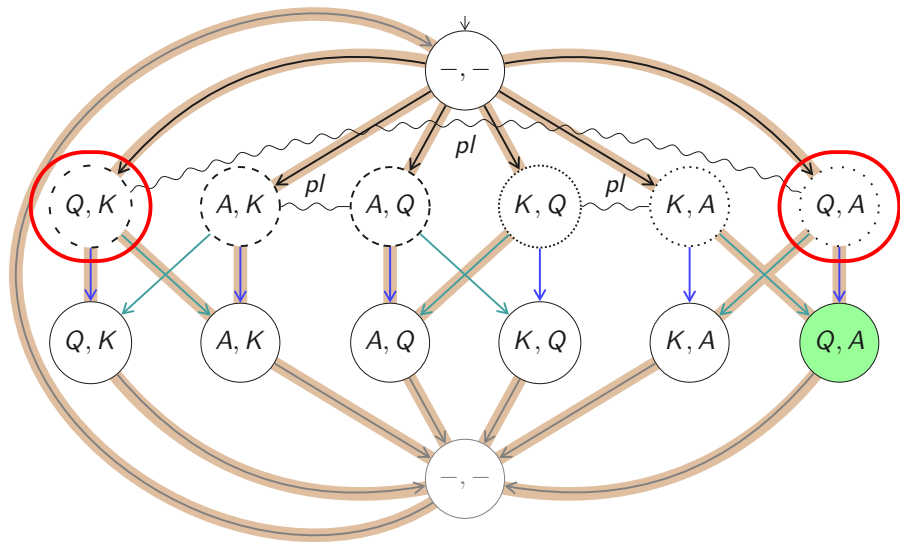


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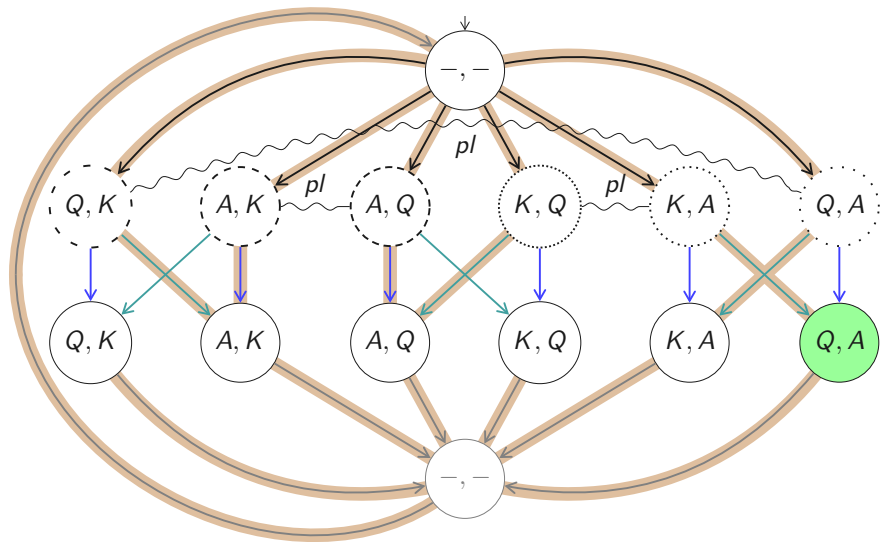


ATLK<sub>irF</sub> model checking example:  $\langle player \rangle F \text{ win} \wedge \langle Q, * \rangle$





ATL $K_{irF}$  model checking example:  $\langle player \rangle F \text{ win} \wedge \langle Q, * \rangle$



Apply ATL $K_{irF}$  model checking  $\Rightarrow$  all states satisfy the property;  
 $\Rightarrow$  the strategy is winning for all.

## Improving the algorithm with filtering

$$s \not\models_{lrF} \langle \Gamma \rangle \pi \implies s \not\models_{irF} \langle \Gamma \rangle \pi$$

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$\Rightarrow$  Can only consider actions that allow  $\Gamma$  to win under  $ATLK_{IrF}$ ;

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$\Rightarrow$  Can only consider actions that allow  $\Gamma$  to win under  $ATLK_{IrF}$ ;

$\Rightarrow$  Can alternate between filtering states and actions and splitting equivalence classes into non-conflicting subsets.

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# Complexity

$ATLK_{IrF}$  is in **P**: the proposed algorithm is polynomial.

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$ATLK_{irF}$  subsumes  $ATL_{ir}$  (in the case of two agents)  
 $\Rightarrow ATK_{irF}$  is  $\Delta_2^P$ -hard;

*Split* algorithm is in **NP**  
 $\Rightarrow ATK_{irF}$  is  $\Delta_2^P$ -complete.



# Vacuous Strategies

If  $\Gamma$  have a strategy **producing no fair path**,  
 $\Gamma$  can win any objective;  
in particular, **unsatisfiable formulas** like  $\langle \Gamma \rangle \mathbf{F}$  *false*.

# Vacuous Strategies

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in particular, **unsatisfiable formulas** like  $\langle \Gamma \rangle \mathbf{F}$  *false*.

## Solutions

- consider only groups of agents that **cannot prevent fairness**;
- change the semantics to only consider **strategies producing at least one fair path**;
- ...

# Knowledge relations

A state  $s$  satisfies  $\langle \Gamma \rangle \pi$  under  $ATLK_{irF}$  iff there exists a memoryless **uniform** strategy for  $\Gamma$  which allows  $\Gamma$  to enforce  $\pi$  in all **states indistinguishable from**  $s$ , considering only fair paths.

Distributed knowledge used for both relations

$\Rightarrow \Gamma$  is considered as a unique agent

$\Rightarrow$  the simplest form.

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$\Rightarrow$  the simplest form.

We could consider other knowledge relations:

- one knowledge relation per agent of  $\Gamma$  (used by  $ATL_{ir}$  for uniformity);
- group knowledge;
- common knowledge.

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# Tool

Prototype implemented with PyNuSMV,  
a Python framework based on NuSMV [5].

Several tested implementations.

[5] S. Busard, C. Pecheur. *PyNuSMV: NuSMV as a Python Library*. (2013)

# Implementation and tests

Basic algorithm:

1. splitting the entire system into uniform strategies;
2. checking each strategy.

⇒ explodes quickly, huge number of strategies  
(huge number of combinations of choices for actions).

# Implementation and tests

Improved algorithm:

Alternate between filtering out losing states and actions and splitting one conflicting equivalence class.

⇒ slower explosion,  
especially when only a few states satisfy the property.



# Implementation and tests

Mixing both:

1. filtering out losing states and actions;
2. splitting the rest into uniform strategies;
3. checking each strategy.

⇒ best solution:

most of the filtering work is performed by the first filtering.

# More improvements (current work)

1. Partial strategies: check only strategies "that matter".
2. Implementation optimizations:
  - ▶ early termination: stop when a strategy is found for all states;
  - ▶ caching: remember states satisfying sub-formulas through different strategies;
  - ▶ ...

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$ATLK_{irF}$ : branching time, knowledge and strategies under partial observability and (unconditional state-based) fairness constraints.

(Symbolic) model checking algorithm based on  $ATLK_{irF}$  **model checking** and **splitting the graph** into memoryless uniform strategies.

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(Symbolic) model checking algorithm based on  $ATLK_{irF}$  **model checking** and **splitting the graph** into memoryless uniform strategies.

⇒ Still needs some improvements.

⇒ Work on counter-examples (controller synthesis,...)

Thank you.

Questions?