

# Verification of Intelligent Controllers using Model Checking

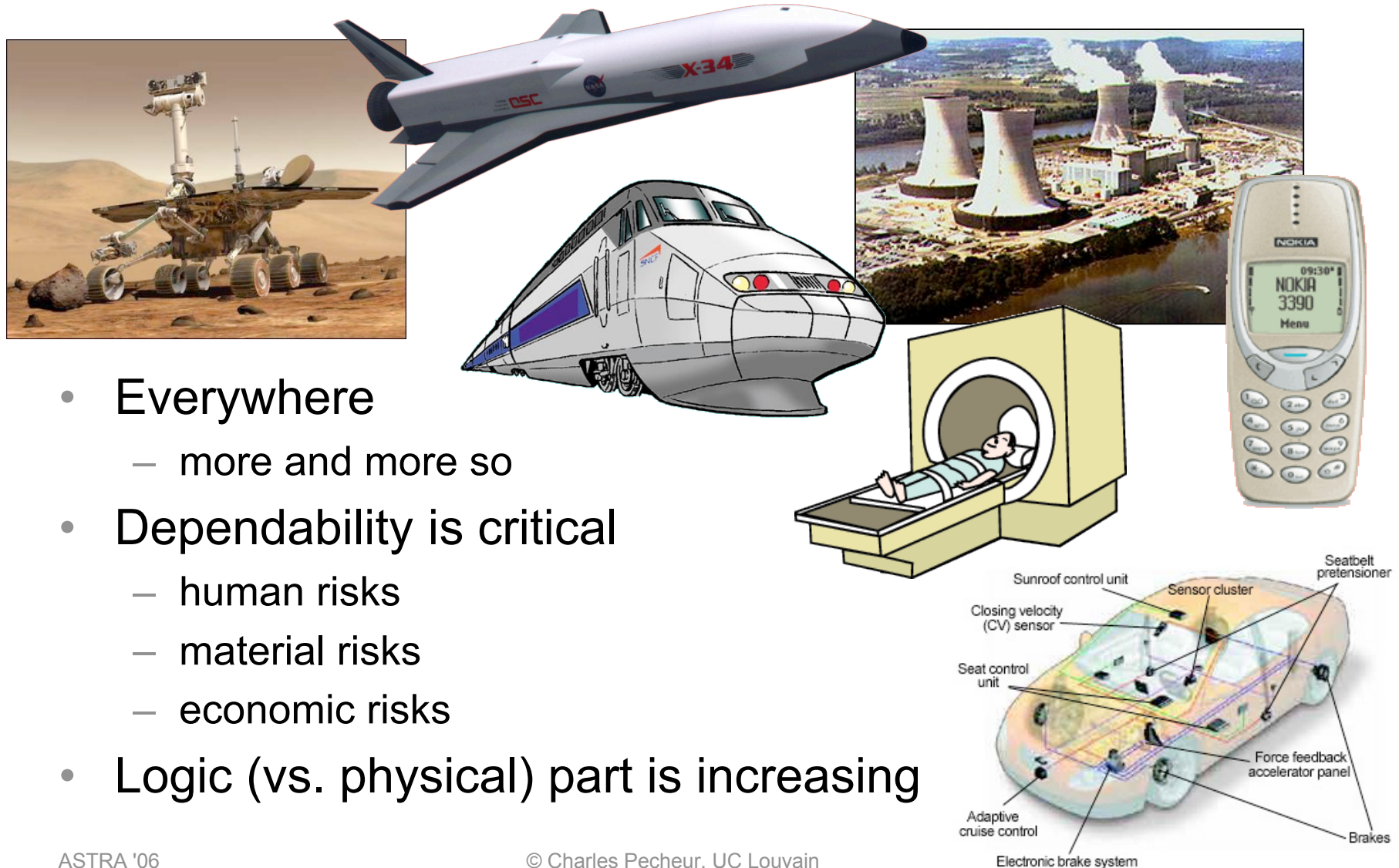
Charles Pecheur, UC Louvain



(formerly RIACS / NASA Ames)



# Embedded Controllers

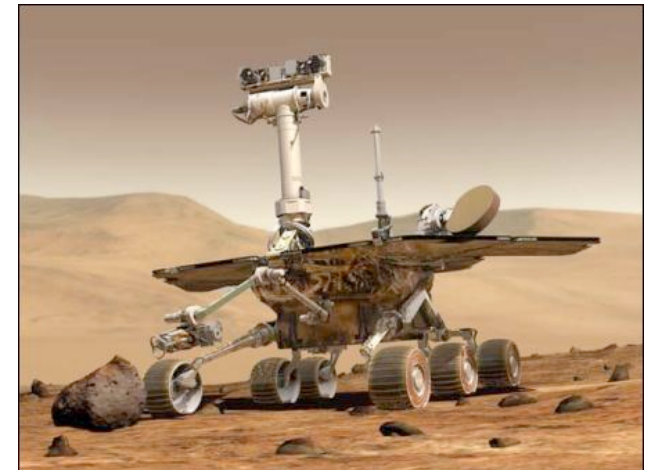


- Everywhere
  - more and more so
- Dependability is critical
  - human risks
  - material risks
  - economic risks
- Logic (vs. physical) part is increasing

# Autonomy (at NASA)

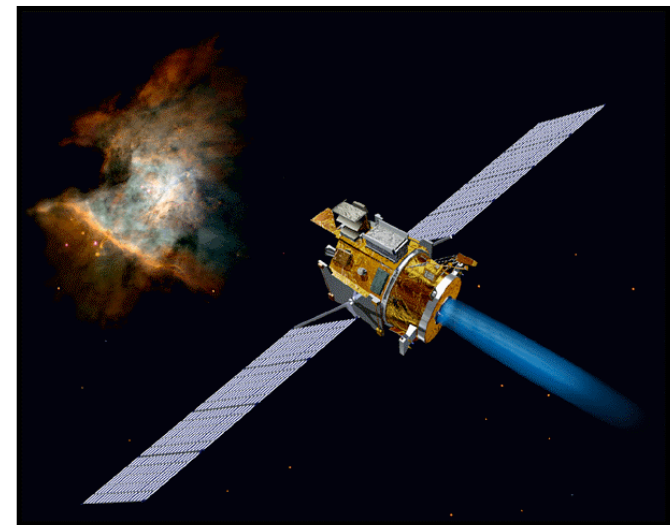
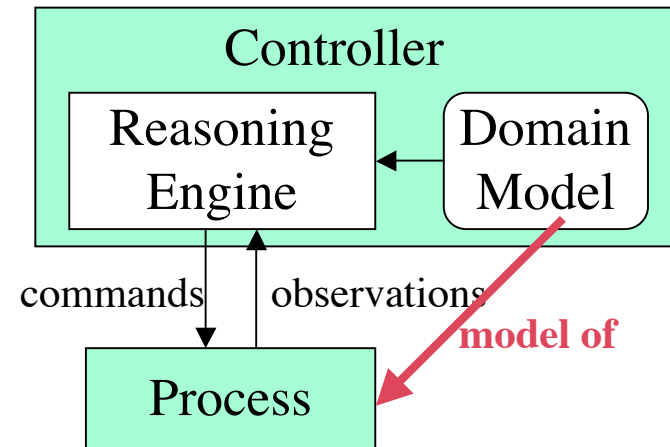
**Autonomous spacecraft = on-board intelligence (AI)**

- **Goal:** Unattended operation in an unpredictable environment
- **Approach:** model-based reasoning
- **Pros:** smaller mission control crews, no communication delays/blackouts
- **Cons:** Verification and Validation ???  
Much more complex, huge state space
- Better verification is critical for adoption



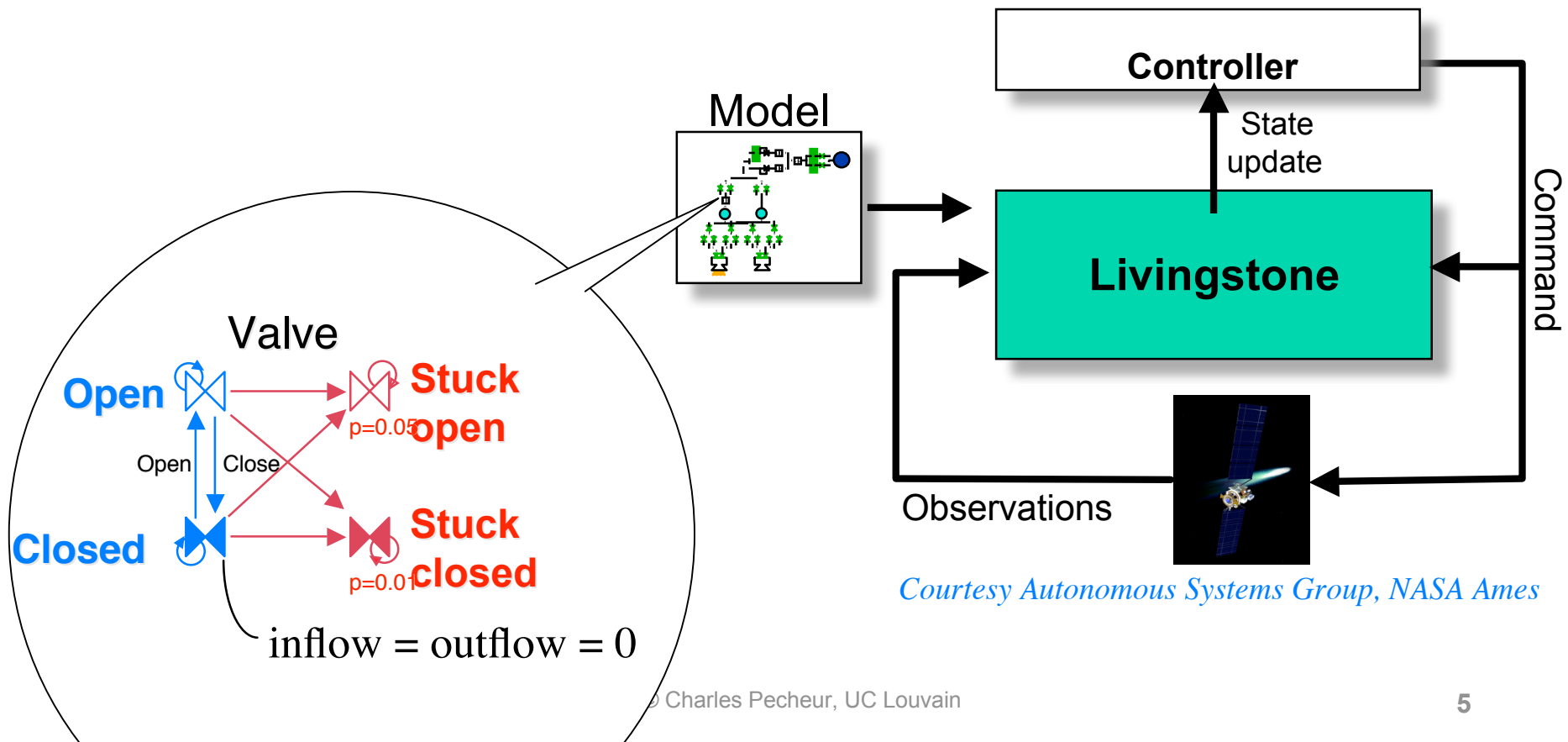
# Model-Based Autonomy

- Based on AI technology
- Generic **reasoning engine** + application-specific **model**
- Model describes (normal and faulty) behaviour of the process
- Engine selects control actions "on-the-fly" based on the model
  - ... rather than pre-coded decision rules
  - better able to respond to unanticipated situations

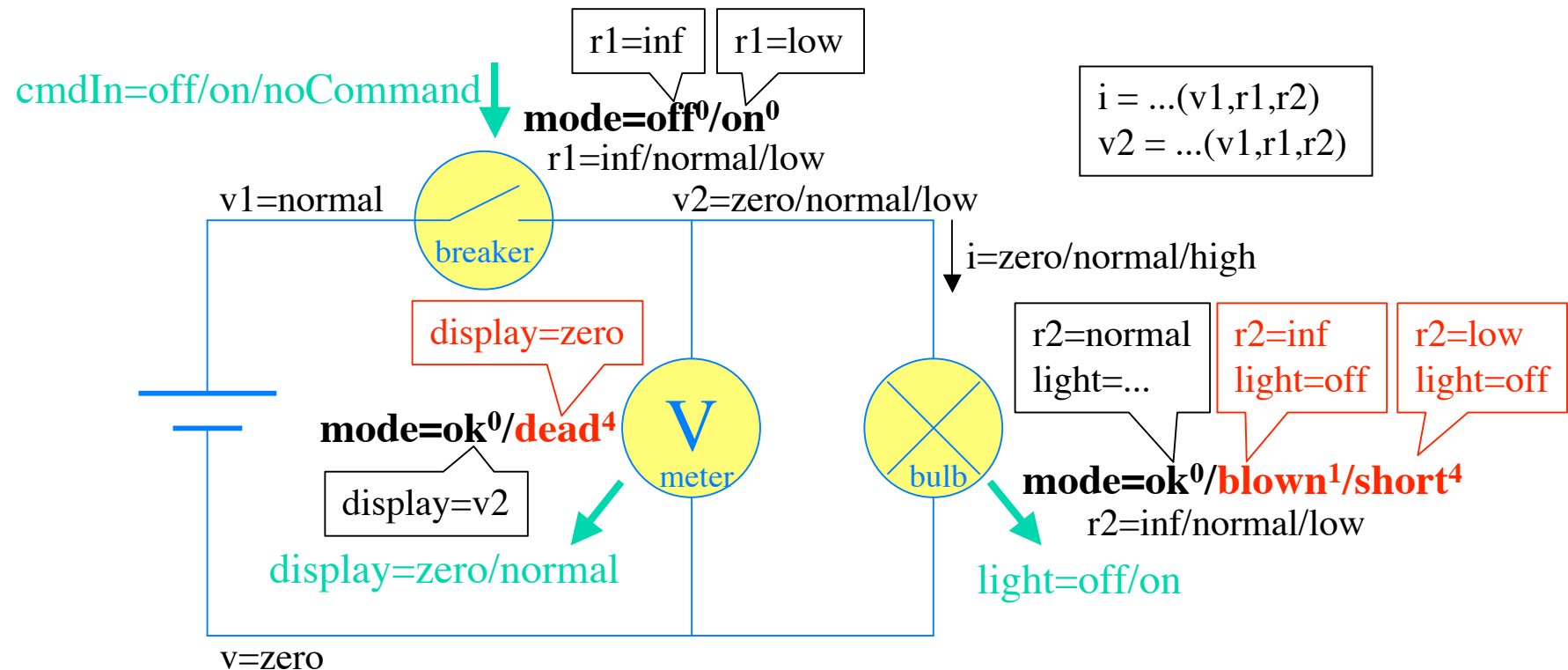


# Livingstone

- **Model-based diagnosis system** from NASA Ames
  - i.e. an advanced state estimator
- Uses a discrete, qualitative model to reason about faults
  - => naturally amenable to formal analysis



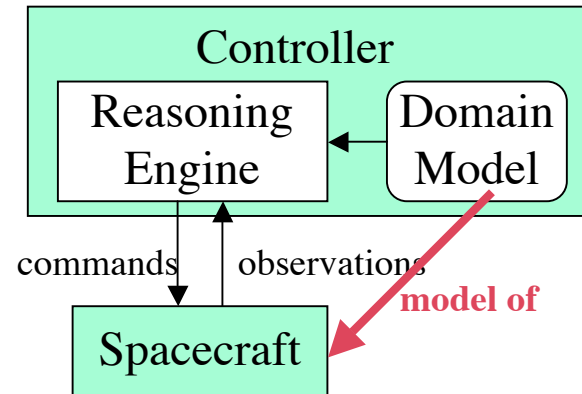
# A Simple Livingstone Model



Goal: determine **modes** from **observations**  
Generates and tracks *candidates*

breaker	bulb	meter	rank
off <sup>0</sup>	ok <sup>0</sup>	ok <sup>0</sup>	0
off <sup>0</sup>	ok <sup>0</sup>	blown <sup>1</sup>	1
on <sup>0</sup>	dead <sup>4</sup>	short <sup>4</sup>	8

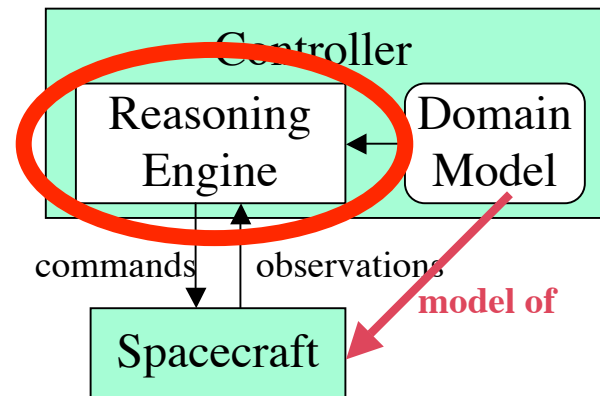
# Verify Model-Based Control?



Of course, but what exactly?

- The model?
- The engine?
- The whole controller?
- **All of the above!**

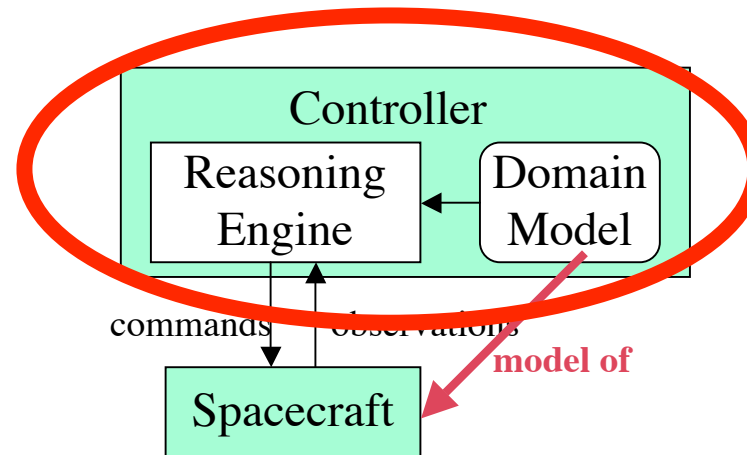
# Verification of the Engine



- A (technically complex) computer program
  - Use traditional software verification approaches
  - Maybe full-blown proof on core algorithms
- Generic, re-used across applications
  - More likely to be stable and trustable
  - Like compilers, interpreters, virtual machines, etc



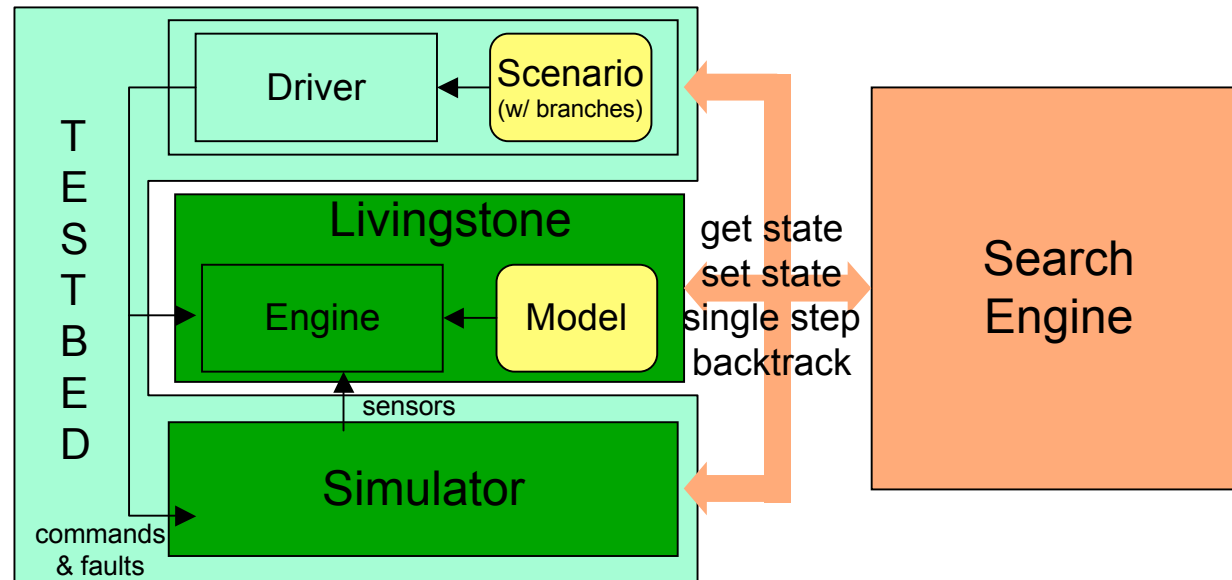
# Verification of the Controller



- good model + good engine  $\neq$  good controller
  - Heuristics in engine, simplifications in model
- System-level verification
  - Controller as black (or grey) box
  - Need a model of the environment (test harness)
  - Applicable to others than model-based

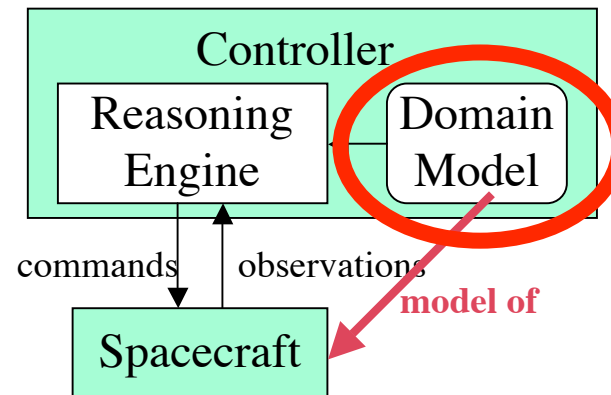
# Livingstone PathFinder

with Tony Lindsey (QSS @ ARC)



- An advanced testing/simulation framework for Livingstone applications
  - Executes the **Real Livingstone Program** in a simulated environment (testbed)
  - **Instrument** the code to be able to **backtrack** between alternate paths
- **Scenarios** = non-deterministic test cases (defined in custom language)
- **Modular** architecture with generic APIs (in Java)
  - allows different diagnosers, simulators (can use Livingstone), search algorithms (depth-first, breadth-first, heuristic, random, ...)
- See TACAS'04 paper

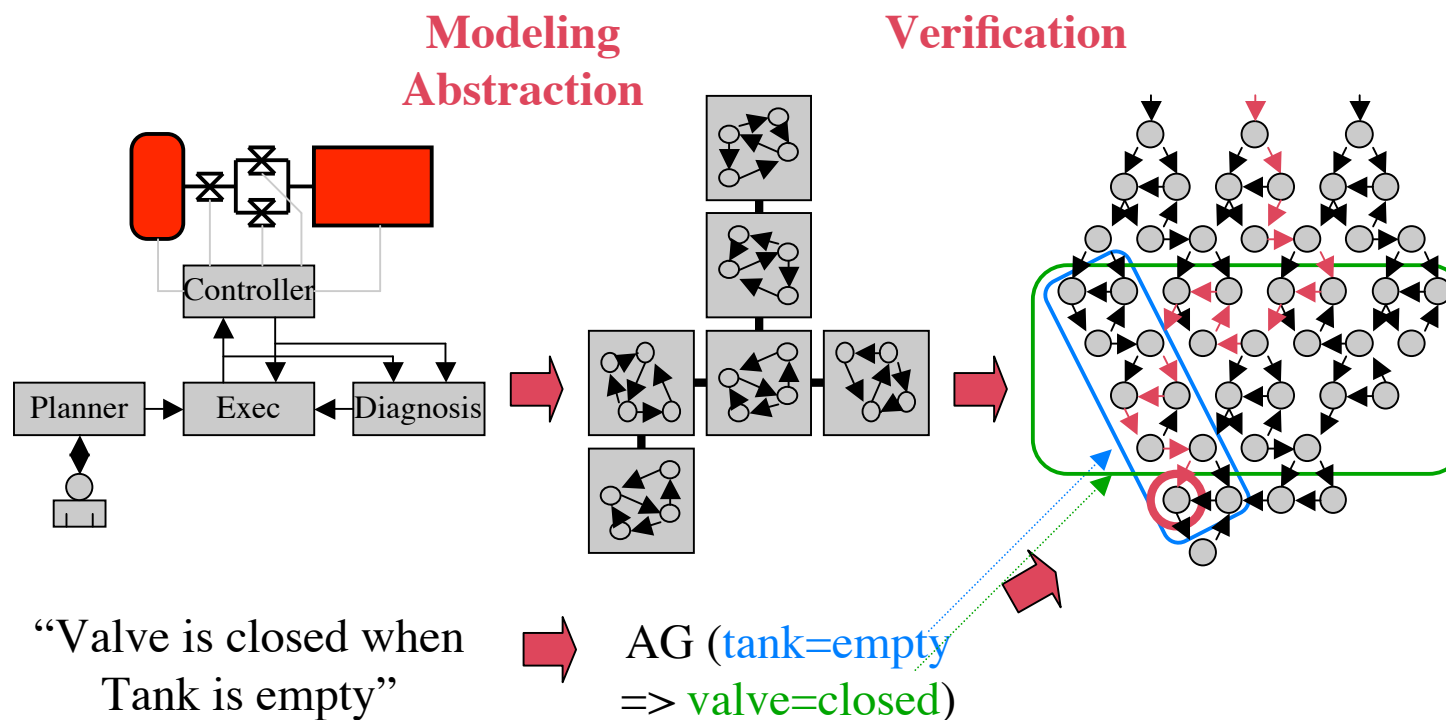
# Verification of the Model



- This is the "application code"
  - where the development effort (and bugs) are
- Abstract, concise, amenable to formal analysis
  - this is another benefit of model-based approaches
  - ... or model-based design in general

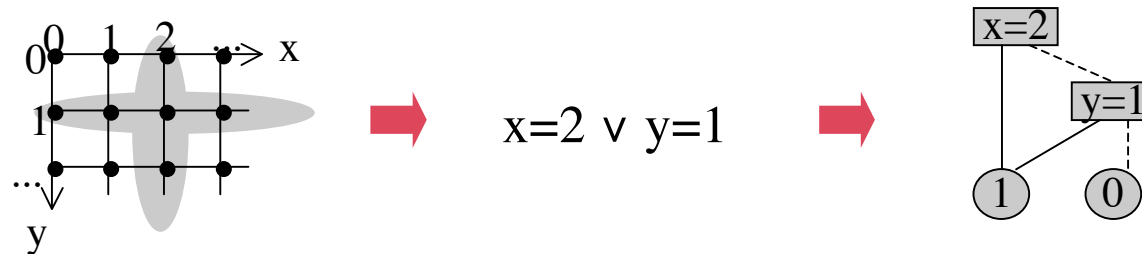
# Model Checking

- **Model checking** = (ideally) **exhaustive** exploration of the (finite) state space of a system
  - $\approx$  exhaustive testing with loop / join detection



# Symbolic Model Checking

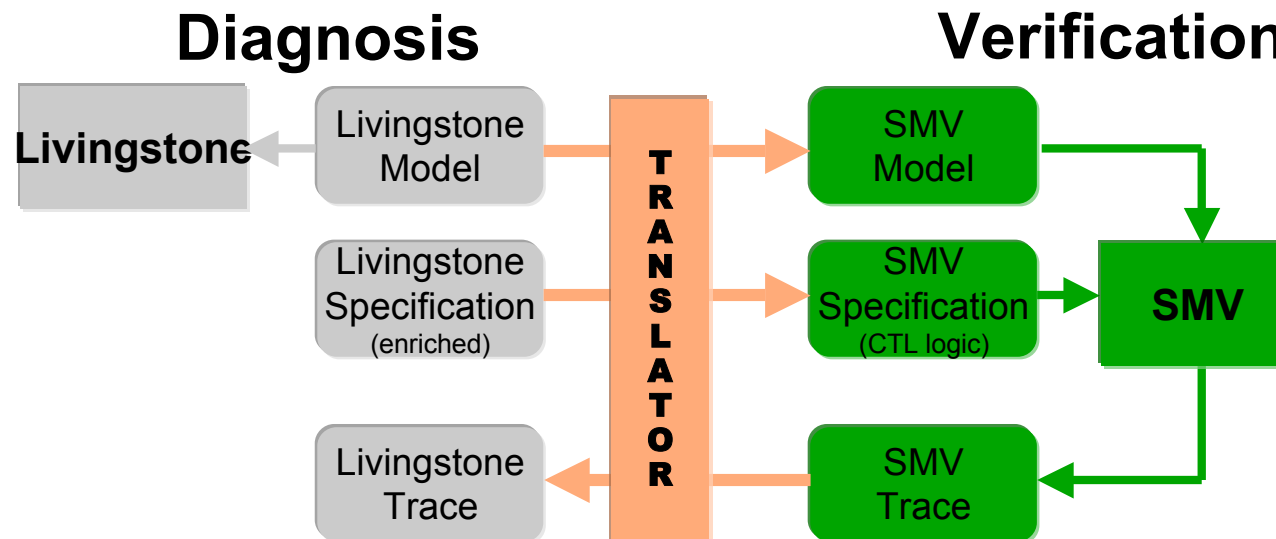
- **Symbolic** model checking =
  - compute **sets of states**,
  - using **symbolic representations**,
  - that can be **efficiently encoded and computed**.



- Can handle **very large state spaces** ( $10^{50+}$ ), or even **infinite domains** (continuous time and variables)
- Example: **SMV/NuSMV** (Carnegie Mellon/IRST)
  - finite state using boolean encoding (BDD, SAT)

# Livingstone-to-SMV Translator

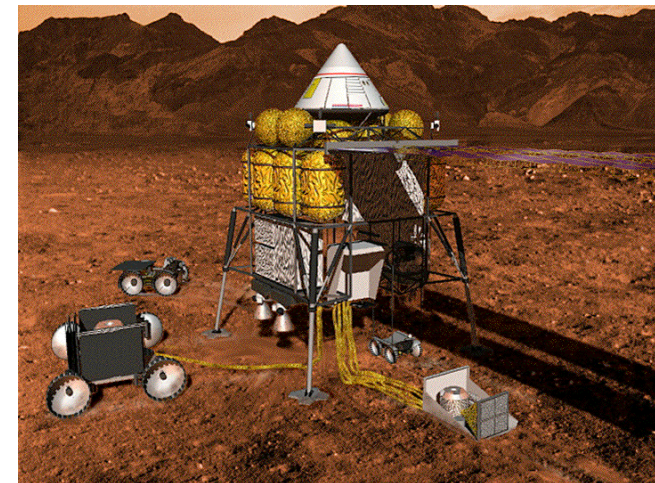
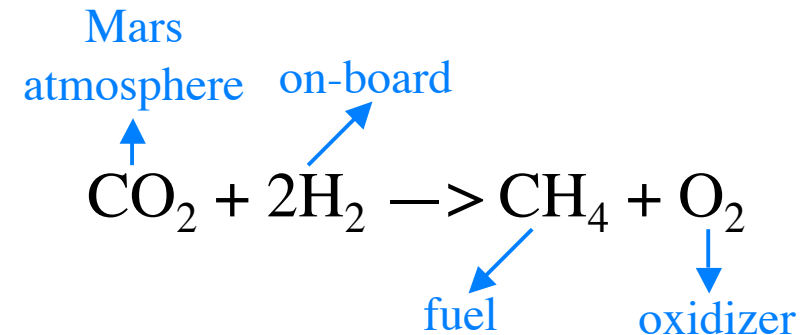
*Joint work with Reid Simmons (Carnegie Mellon)*



- A translator that converts Livingstone models, specs, traces to/from SMV (in Java)
  - SMV: symbolic model checker (both BDD and SAT-based)  
allows exhaustive analysis of very large state spaces ( $10^{50+}$ )
- Hides away SMV, offers a **model checker for Livingstone**
- Enriched specification syntax (vs. SMV's core temporal logic)
- Graphical interface, integration in Livingstone development tools

# In-Situ Propellant Production

- Use atmosphere from Mars to make fuel for return flight.
- Livingstone controller developed at NASA KSC.
- Components are tanks, reactors, valves, sensors...
- Exposed improper flow modeling.
- Latest model is  $10^{50}$  states.



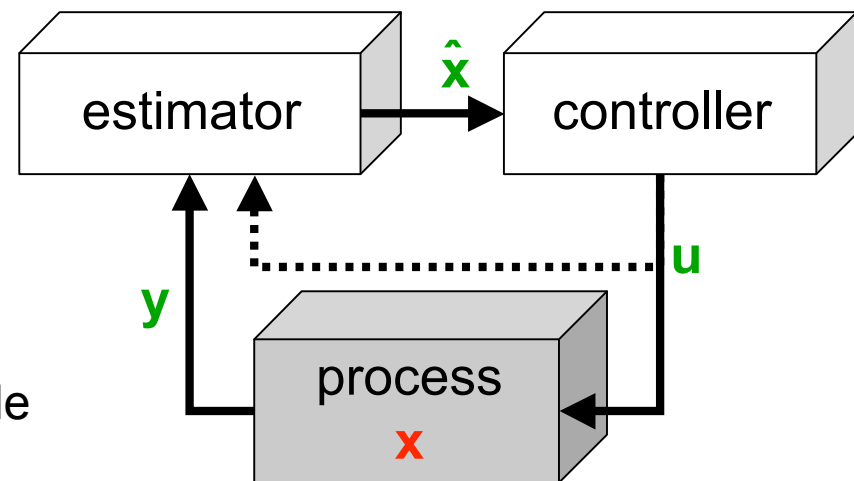
# Verification of Diagnosis Models

- Coding Errors
  - e.g. Consistency, well-defined transitions, ...
  - Generic
  - Compare to Lint for C
- Model Correctness
  - Expected properties of modeled system
  - e.g. flow conservation, operational scenarios, ...
  - Application-specific
- **Diagnosability**
  - Are faults detectable/diagnosable?
    - Given available sensors
    - In all/specific operational situations (dynamic)

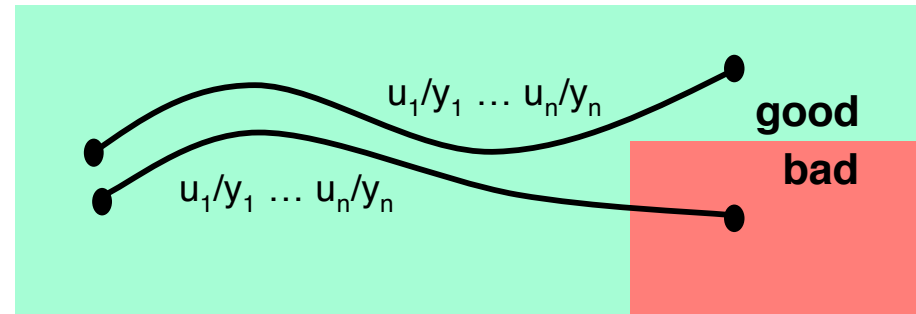
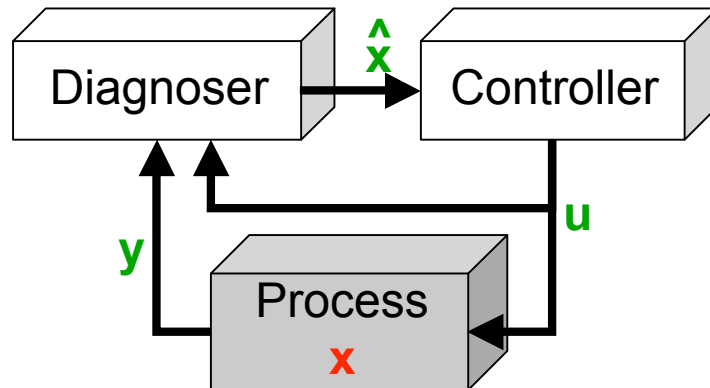


# Process Control

- **Partially observable** process (hidden state  $\mathbf{x}$ , estimated by  $\hat{\mathbf{x}}$ )
- **observability** :  
infer  $\mathbf{x}$  from  $\mathbf{y}$  (and  $\mathbf{u}$ )
- **commandability** :  
impose  $\mathbf{x}$  through  $\mathbf{u}$
- **control theory** :  
 $\mathbf{x}$  = physical quantities, differentiable  
→ linear models, PDI controllers
- **logic processes** :  
 $\mathbf{x}$  = states, modes, **failures**, discrete  
→ state machines, programmable automata

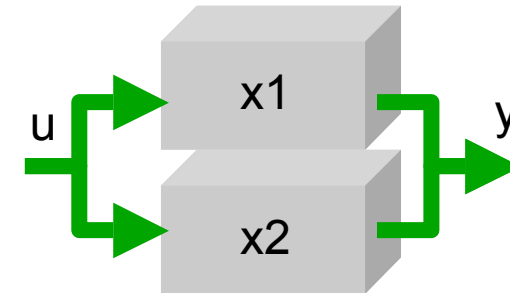
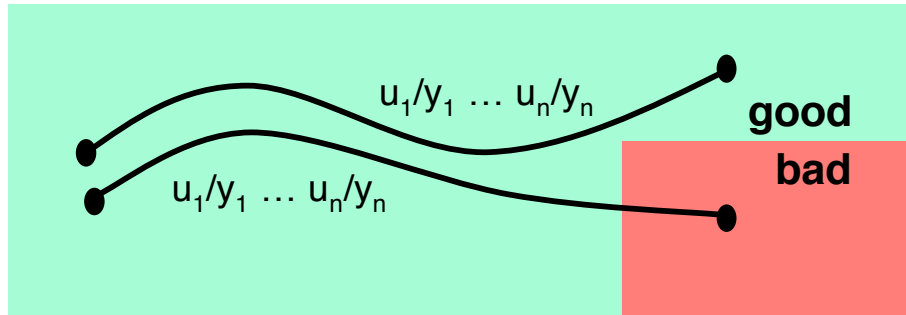


# Diagnosability



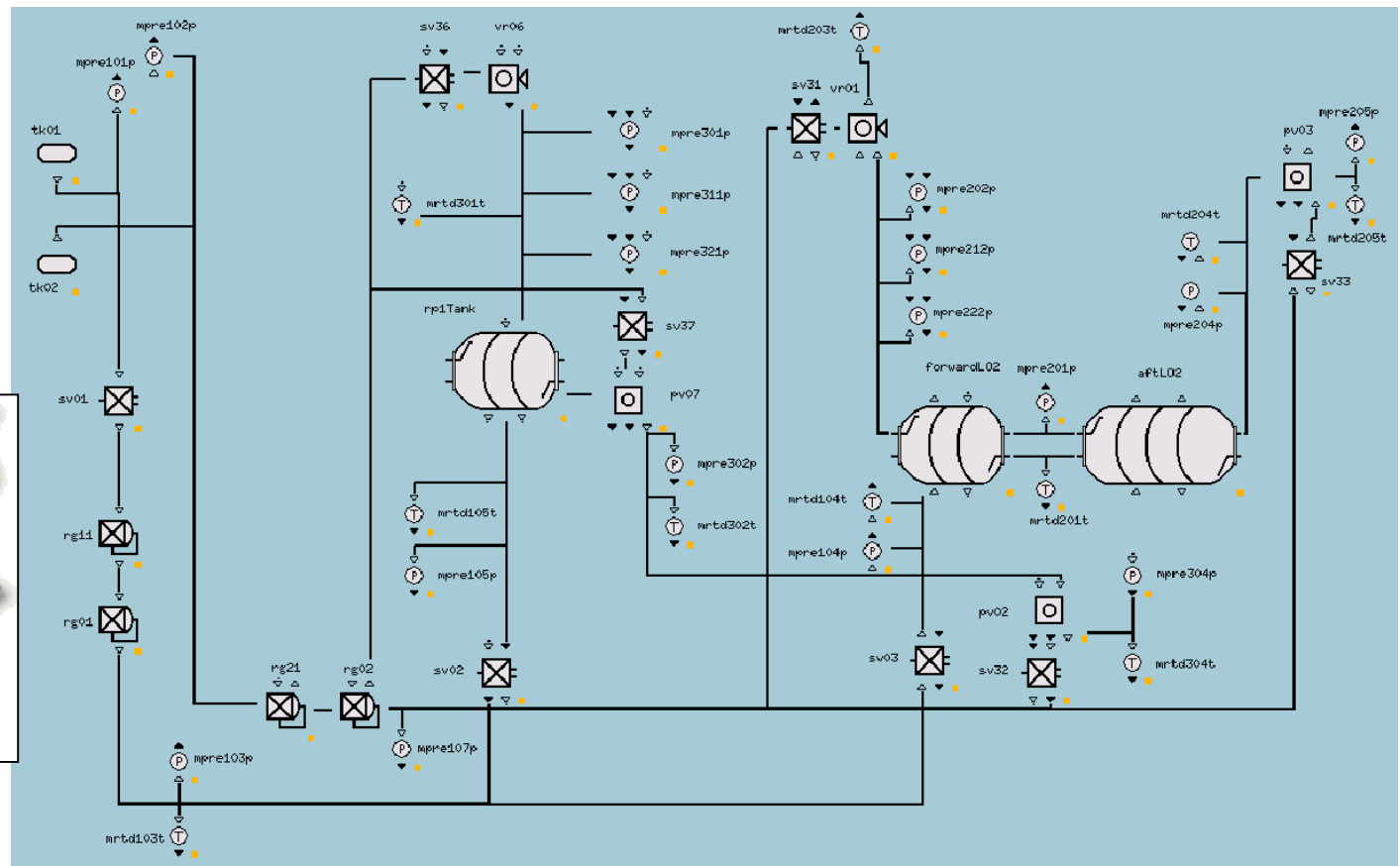
- **Diagnosis:** estimate the hidden state **x** (incl. failures) given observable commands **u** and sensors **y**.
- **Diagnosability:** Can (a smart enough) *Diagnoser* always tell when *Process* comes to a **bad** state?
- **Property of the Process** (not the Diagnoser)
  - even for non-model-based diagnosers
  - but analysis needs a (process) model

# Verification of Diagnosability



- **Intuition:** **bad** is diagnosable if and only if there is no pair of trajectories, one reaching a **bad** state, the other reaching a **good** state, with identical observations.
  - or some generalization of that: (context, two different faults, ...)
- **Principle:**
  - consider two concurrent copies  $x1$ ,  $x2$  of the process, with coupled inputs  $u$  and outputs  $y$
  - check for reachability of (**good**( $x1$ ) && **bad**( $x2$ ))
- Back to a classical (symbolic) model checking problem !
- Supported by Livingstone-to-SMV translator

- Propulsion IVHM Technology Experiment (ARC, GRC)
- Livingstone applied to propulsion feed system of space vehicle
- Livingstone model is  $4 \cdot 10^{33}$  states



# PITEX Diagnosability Error

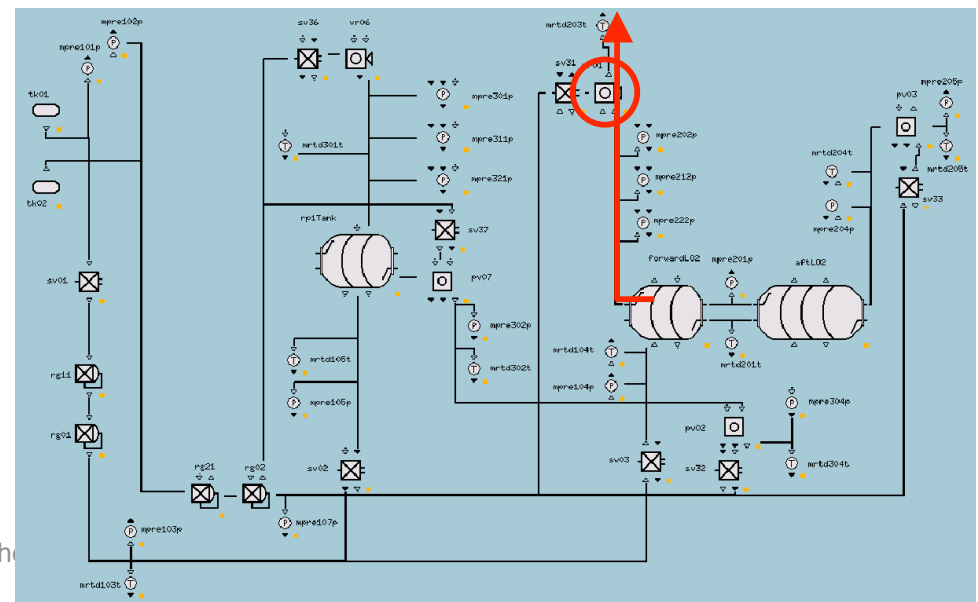
*with Roberto Cavada (IRST, NuSMV developer)*

- "Diagnosis can decide whether the venting valve VR01 is closed or stuck open (assuming no other failures)"

INVAR !test.multibroken() & twin(!test.broken())

VERIFY INVARIANT !(test.vr01.mode=stuckOpen &  
twin(test.vr01.valvePosition=closed))

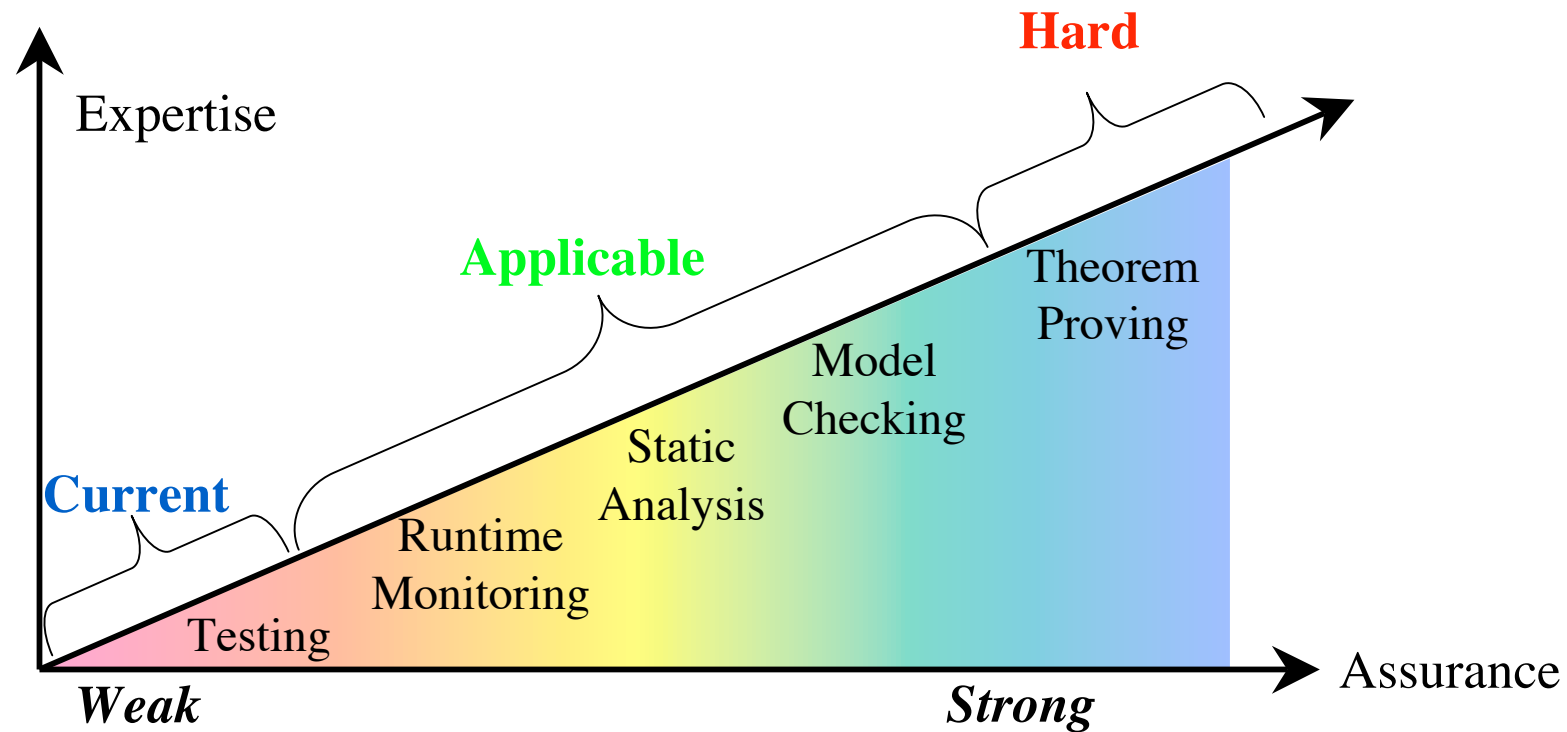
- Results show a pair of traces with same observations, one leading to **VR01 stuck open**, the other to **VR01 closed**. Application specialists fixed their model.



## ... and Verification of Software

- There is more to it than reasoning engines!
  - Device drivers, OS, navigation, communication, ...
  - real-time, **concurrent**, reactive, interrupts, priorities, ...
- All traditional good practices apply
  - **Sound software engineering practices** (requirements, design, modelling, documentation, reviews, testing, configuration management, ...)
  - Advanced software verification techniques (monitoring, static analysis, model checking, proofs)

# The Program Verification Spectrum



*(adapted from John Rushby)*

# Human Factors

- Adapt technology to its users
  - use their paradigms/languages (translation)
  - integrate in their tools and environments
  - vision : verification tools as advanced debuggers
- Technology maturation
  - From something that works to something that is usable
  - Lots of work and time
  - Polish the code but also documentation, training, etc
- Space mission adoption
  - Space missions take very conservative attitude w.r.t. new technologies (for good reason)
  - No-one wants to be the first adopter
  - Usefulness of technology validation missions



# Conclusions

- Verification of **control software**
  - Particularity : control loop, observability/commandability
    - In particular, failure diagnosability and recoverability
- Verification of **model-based controllers**
  - **Needs** advanced verification (because of large state space)
  - **Facilitates** advanced verification (thanks to model)
- **Model checking**
  - Applicable to these problems
  - esp. symbolic model checking, esp. to model-based
  - Delicate precision/scalability trade-off
- Verification of **software**
  - All other principles still apply

# Perspectives

- Key ideas:
  - **model-based analysis (model checking)**
  - **partial observability**
- Extensions
  - from discrete to continuous, real-time, **hybrid models**
  - from fault diagnosis to **planning**
- Connections
  - with classical **risk analysis** (fault trees, FMEA)
  - with **man-machine interface** issues (observability!)
  - with **epistemic logics** (diagnoser as knowledge agent)
- Keep in touch with reality
  - scalability, relevance to practical needs, tools, integration

# References

- On this talk :

Tim Menzies and Charles Pecheur. Verification and Validation and Artificial Intelligence. In: M. Zelkowitz, Ed., Advances in Computers, vol. 65, 2005, Elsevier.
- See also
  - <http://www.info.ucl.ac.be/~pecheur/publi/>
  - <http://www.info.ucl.ac.be/~pecheur/talks/>